

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q80119

Dae-sik KIM, et al.

Appln. No.: 10/792,278

Group Art Unit: 2872

Confirmation No.: 4215

Examiner: Mark Consilvio

Filed: March 4, 2004

For:

OPTICAL COMBINING DEVICE

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.97 and 1.98

MAIL STOP AMENDMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith, except for the following: U.S. patents and/or U.S. patent publications; and co-pending non-provisional U.S. applications filed after June 30, 2003.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date; (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after

INFORMATION DISCLOSURE STATEMENT

U.S. Appln. No.: 10/792,278

filing a request for continued examination (RCE) under §1.114, and therefore, no Statement

under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for

foreign language documents, Applicant submits a foreign office action for a related U.S.

Application/Publication 10/794,401, 2004/0233342, together with an English-language version

(if not already included) of at least that portion of the Communication indicating the degree of

relevance found by the foreign patent office.

The submission of the listed documents is not intended as an admission that any such

document constitutes prior art against the claims of the present application. Applicant does not

waive any right to take any action that would be appropriate to antedate or otherwise remove any

listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue

Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any

overpayments to said Deposit Account.

Respectfully submitted,

Registration No. 55,470

SUGHRUE MION, PLLC

Telephone: (202) 293-7060

Facsimile: (202) 293-7860

WASHINGTON OFFICE

23373

CUSTOMER NUMBER

Date: September 12, 2006

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Substitute for Form 1449 A & B/PTO

SEP 1 2 2006

BOSURE

LEGANTEMENT

INFORMATION DISCESSURE STATEMENT BY APPLICANTED

(use as many sheets as necessary)

Sheet	1	of	2

Comp	olete if Known
Application Number	10/792,278
Confirmation Number	4215
Filing Date	March 4, 2004
First Named Inventor	Dae-sik KIM
Art Unit	2872
Examiner Name	Mark Consilvio
Attorney Docket Number	Q80119

			U.S.	PATENT DOCU	MENTS
Eveminer	Cito	Document N	umber	Publication Date	
Examiner Initials*	Cite No. ¹	Number	Kind Code ² (if known)	MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		US 6,273,567	B1	08-14-2001	Conner et al.
		US 6,327,093	B1	12-04-2001	Nakanishi
		US 6,343,864	B1	02-05-2002	Tajiri
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		US 2003/0151725	Al	08-14-2003	Hayashi et al.

			F	OREIGN PA	TENT DOCUME	NTS	
Examiner Cite	Cite	Foreign Patent Document			Publication Date	Name of Patentee or	Translation ⁶
Initials*	No.1	Country Code ³	Number ⁴	Kind Code ⁵ (if known)	MM-DD-YYYY	Applicant of Cited Document	
		CN	1363846				Foreign office action
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NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*			Translation ⁶		
			 		
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Examiner Signature	Date Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or follow the hyperlink from the title of the document to the intranet. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to indicate here if English language Translation is attached.

Substitute for For

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FORMATION D	SCLOSURE 5
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Complete if Known 10/792,278 Application Number Confirmation Number 4215 Filing Date March 4, 2004 First Named Inventor Dae-sik KIM Art Unit 2872 **Examiner Name** Mark Consilvio Q80119

(use as many sheets as necessary)

of 2 Attorney Docket Number Sheet 2

			U.S.	PATENT DOCU	MENTS
Examiner	Cite	Document No	umber	Publication Date	
Initials*	No.1	Number	Kind Code ² (if known)	MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		US 2004-0070734	Al	04-15-2004	Suzuki et al.
		US 2004-0233342	Al	11-25-2004	Kim et al.
		US			

	FOREIGN PATENT DOCUMENTS								
Examiner	Cite	For	eign Patent Docum	ent	Publication Date	Name of Patentee or			
Initials*	No.1	Country Code ³	Number ⁴	Kind Code ⁵ (if known)	MM-DD-YYYY	Applicant of Cited Document	Translation ⁶		

	NON PATENT LITERATURE DOCUMENTS						
Examiner Initials*			Translation ⁶				
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